



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/029,774	12/20/2001	Damien R. Forkner	10012176-1	5672

7590 01/03/2007  
HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

EXAMINER
----------

VO, LILIAN

ART UNIT	PAPER NUMBER
----------	--------------

2195

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	01/03/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/029,774	<b>Applicant(s)</b> FORKNER ET AL.	
	<b>Examiner</b> Lilian Vo	<b>Art Unit</b> 2195	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 25 September 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1 - 4, 6 - 14, 16 - 21 and 23 - 26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 - 4, 6 - 14, 16 - 21 and 23 - 26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |                                                                                                            |                                                                                         |
|------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____                                                |

### DETAILED ACTION

1. Claims 1 – 4, 6 – 14, 16 – 21 and 23 - 26 are pending. Claims 5, 15 and 22 have been cancelled.

#### *Claim Rejections - 35 USC § 103*

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1 – 3, 6 – 10, 12 – 14, 16 – 19, 21 and 23 - 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Griffin (US 6,237,005) in view of Ikuta et al. (US Pat. 6,243,719, hereinafter Ikuta).

4. Regarding **claim 1**, Griffin discloses a server (fig. 2) comprising:  
an application (abstract and fig. 2), the application comprising:

a persistent process that generates dynamic and interactive HTML content for the application (abstract, col. 7 lines 1 - 18); and

a plurality of transient processes, wherein each transient process is launched to handle a client request from a client by parsing the client request, forwarding the client request to the persistent process, capturing a result from the persistent process and forwarding the result to the client (col. 3 lines 17 – 27, 58 – 65 and col. 11 lines 50 - 53).

Griffin did not clearly disclose the persistent process performs background processing when no client requests are pending, the background processing including caching in memory. Nevertheless, Ikuta discloses the cache file 22 is updated in the background when the server has some surplus processing capability (col. 6 lines 29 – 31, col. 8 lines 51 – 63, col. 9 lines 10 – 16, 29 – 35 and fig. 6). It would have been obvious to one of an ordinary skill in the art, at the time the invention was made, to combine Ikuta's teaching with Griffin because Griffin's master interpreter (persistent process) "...is formed at time 1009 corresponding to a time prior to the receipt of the first transaction request message...The duration 1016 of the master interpreter 1002 extends from the time it was formed 1009 to an indefinite time in the future..." (col. 15 lines 41 – 48), thus is capable of performing the step of caching in the background when there is no pending requests so that resource can be fully utilized.

5. Regarding **claim 2**, as modified Griffin discloses the persistent process utilizes a support process outside the server (Griffin: col. 3 lines 50 - 56).

6. Regarding **claim 3**, as modified Griffin discloses the transient processes implement a CGI (Griffin: col. 6 lines 2 - 6).

7. Regarding **claim 6**, as modified Griffin discloses that each of the plurality of transient processes terminates after forwarding the result to the client (Griffin: col. 3 lines 17 – 22 and col. 11 lines 50 - 53).

8. Regarding **claim 7**, as modified Griffin discloses when a first client sends a file request for a file, a first transient process obtains and forwards the file to the first client (Griffin: col. 7 lines 1 – 18).

9. Regarding **claim 8**, as modified Griffin discloses when a first client sends a file request for a file, a first transient process, after verifying access to the file, obtains and forwards the file to the first client (Griffin: col. 7 lines 1 – 18 and col. 9 lines 27 - 32).

10. Regarding **claim 9**, as modified Griffin discloses the plurality of transient processes communicate with the persistent process via interprocess communication (IPC) (Griffin: col. 6 lines 22 – 67 and figs. 5 - 6).

11. **Claims 10, 12 – 14, 16 – 19, 21 and 23 - 25** are rejected on the same ground as stated in claims 1 – 3 and 6 - 9 above.

12. Claims 4, 11, 20 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Griffin (US 6,237,005) in view of Ikuta et al. (US Pat. 6,243,719), as applied claims 1, 12 and 21 above, in view of Challenger et al. (US 6,026,413, hereinafter Challenger).

13. Regarding **claims 4 and 11**, as modified Griffin discloses that by maintaining master and pristine interpreters in memory for multiple transactions... the computer is able to service a larger number of users who are submitting these transactions during a high-usage, time period (Griffin: col. 4 lines 6 – 10). Therefore, it would have been obvious to one of an ordinary skill in

Art Unit: 2195

the art, at the time the invention was made, that by servicing a larger number of users submitting the requests it implies that Griffin's system includes or uses a queue for the request.

Furthermore, Challenger discloses the uses of a queue for storing the incoming requests (fig. 33a, 33B and 34). It would have been obvious for one of an ordinary skill in the art, at the time the invention was made, to incorporate this feature to modified Griffin's system so that incoming requests can be queued as they are waiting to be serviced accordingly in the order they were received.

14. **Claims 20 and 26** are rejected on the same ground as stated in claims 4 and 11 above.

#### ***Response to Arguments***

15. Applicant's arguments with respect to claims 1, 12 and 21 have been considered but are moot in view of the new ground(s) of rejection.

#### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lilian Vo whose telephone number is 571-272-3774. The examiner can normally be reached on Thursday 8am - 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng-Ai An can be reached on 571-272-3756. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2195

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Lilian Vo  
Examiner  
Art Unit 2195

lv  
December 21, 2006

  
MENG-AI T. AN  
ADVISORY PATENT EXAMINER  
BIOLOGY CENTER 2100